PTO-1390 (Rev. 12-2004)
Approved for use through 03/31/2007. OMB 0651-0021
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| TD  | ANSMITTAL LETTER TO THE UNITED STATES   | ATTORNEY'S DOCKET NUMBER                        |  |  |  |  |  |  |  |  |
|---|---|---|--|--|--|--|--|--|--|--|
|   | DESIGNATED/ELECTED OFFICE (DO/EO/US)  | 0 2815=0280PUS1 = 4                             |  |  |  |  |  |  |  |  |
|   | CERNING A SUBMISSION UNDER 35 U.S.C. 371  | U.S. APRILO (N.N.) (Minewn Zee 2) (FR 1.5)  NEW |  |  |  |  |  |  |  |  |
| INTERNAT  | TIONAL APPLICATION NO. INTERNATIONAL FILING DATE  | PRIORITY DATE CLAIMED                           |  |  |  |  |  |  |  |  |
| F   | PCT/DK2003/000510 24 July 2003  | 26 July 2002                                    |  |  |  |  |  |  |  |  |
| TITLE OF INVENTION DIAZABICYCLONONANE AND -DECANE DERIVATIVES AND THEIR USE AS OPIOID RECEPTOR LIGANDS  |   |   |  |  |  |  |  |  |  |  |
| APPLICANT(S) FOR DO/EO/US Dan PETERS; Gunnar M. OLSEN; and Elsebet Østegaard NIELSEN  |   |   |  |  |  |  |  |  |  |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:   |   |   |  |  |  |  |  |  |  |  |
| 1. x  | 1. x This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.                  |   |  |  |  |  |  |  |  |  |
| 2.  | This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371. |   |  |  |  |  |  |  |  |  |
| 3. x This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below. |   |   |  |  |  |  |  |  |  |  |
| 4. x  | 4. x The US has been elected (Article 31).  |   |  |  |  |  |  |  |  |  |
| 5. x  | x A copy of the International Application as filed (35 U.S.C. 371 (c)(2))                                     |   |  |  |  |  |  |  |  |  |
| a.  | x is attached hereto (required only if not communicated by the International Bureau).                         |   |  |  |  |  |  |  |  |  |
| b.  | has been communicated by the International Bureau.  |   |  |  |  |  |  |  |  |  |
| c.  | is not required, as the application was filed in the United States Receiving Office (RO/US).                  |   |  |  |  |  |  |  |  |  |
| 6.  | An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).             |   |  |  |  |  |  |  |  |  |
| a.  | i   |   |  |  |  |  |  |  |  |  |
| b.  | has been previously submitted under 35 U.S.C. 154(d)(4).  |   |  |  |  |  |  |  |  |  |
| 7. x  | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))         |   |  |  |  |  |  |  |  |  |
| a.  | are attached hereto (required only if not communicated by the International Bureau).                          |   |  |  |  |  |  |  |  |  |
| b. [  | have been communicated by the International Bureau.   |   |  |  |  |  |  |  |  |  |
| с. [  | have not been made; however, the time limit for making such amendments has NOT expired.                       |   |  |  |  |  |  |  |  |  |
| d. [  | x have not been made and will not be made.  |   |  |  |  |  |  |  |  |  |
| 8   | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).  |   |  |  |  |  |  |  |  |  |
| 9. x  | An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).   |   |  |  |  |  |  |  |  |  |
|   | An English language translation of the annexes to the International Pre<br>Article 36 (35 U.S.C. 371 (c)(5)). | eliminary Examination Report under PCT          |  |  |  |  |  |  |  |  |
| Items 11  | to 20 below concern document(s) or information included:  |   |  |  |  |  |  |  |  |  |
| 11. x   | An Information Disclosure Statement under 37 CFR 1.97 and 1.98.   |   |  |  |  |  |  |  |  |  |
| 12. x   | An assignment document for recording. A separate cover sheet in complia                                       | nce with 37 CFR 3.28 and 3.31 is included.      |  |  |  |  |  |  |  |  |
| <b>√</b> 13. x  | A preliminary amendment.  |   |  |  |  |  |  |  |  |  |
| 14. A   | An Application Data Sheet under 37 CFR 1.76.  |   |  |  |  |  |  |  |  |  |
| 15  | A substitute specification.   |   |  |  |  |  |  |  |  |  |
| 16 <i>A</i>   | A power of attorney and/or change of address letter.  |   |  |  |  |  |  |  |  |  |
| 17  | A computer-readable form of the sequence listing in accordance with PC  | T Rule 13ter.2 and 37 CFR 1.821 - 1.825.        |  |  |  |  |  |  |  |  |
| 18.   | A second copy of the published International Application under 35 U.S.C. 154(d)(4).                           |   |  |  |  |  |  |  |  |  |
| 19 /  | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). |   |  |  |  |  |  |  |  |  |
| 20. x   | Other items or information: PCT/ISA/210; PCT/IPEA/409   |   |  |  |  |  |  |  |  |  |
|   | ,   |   |  |  |  |  |  |  |  |  |

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| U.SPO705215559 1.5)   |  |  |                            | INTERNATIONAL APPLICATION NO. PCT/DK2003/000510 |            |           | ATTORNEY'S DOCKET NUMBER 2815-0290PUS1 |  |  |  |
|---|--|--|----------------------------|---|------------|-----------|--|--|--|--|
| 21. The following fees are submitted:   |  |  |                            |   |            |           |  |  |  |  |
| x a) Basic  | national fee   | \$ 300.00  |                            |   |            |           |  |  |  |  |
| x b) Examination fee\$200.00  |  |  |                            |   |            | \$ 200.00 |  |  |  |  |
| x c) Search   | h fee  | \$ 500.00  |                            |   |            |           |  |  |  |  |
| — .   | TOTAL OF ABOVE   | \$ 1,000.00  |                            |   |            |           |  |  |  |  |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. |  |  |                            |   |            |           |  |  |  |  |
|   |  | of each additional 50 or fraction f (round up to a whole number RATE |                            |   |            |           |  |  |  |  |
| 18 - 100 =  | /50 =  |  |                            |   | x \$250.00 |           |  |  |  |  |
|   | 130.00 for furnishing daimed priority date   | hs   | \$ 0.00                    |   |            |           |  |  |  |  |
| CLAIMS  | NUMBER   | FILED N  | NUMBER EXTRA               | R   | ATE        |           |  |  |  |  |
| Total claim:  |  | 20 =   | 0                          | x   | 0.00       | \$        | 0.00                                   |  |  |  |
| Independent cla   |  | -3 =   | 0                          | х   | 0.00       | \$        | 0.00                                   |  |  |  |
| MULTIPLE DEP  | ENDENT CLAIM(s)  | (if applicab   |                            | +   | ATIONS -   | \$        | 1 000 00                               |  |  |  |
|   | TOTAL OF ABOVE CALCULATIONS = \$ 1,000.00  Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½. |  |                            |   |            |           |  |  |  |  |
| ale leddc   | eu by 72.  |  |                            | SUB   | TOTAL =    | \$        | 1,000.00                               |  |  |  |
| _   |  | •  | lish translation later tha | n 30 monti                                      | ns<br>+    | \$        | <u> </u>                               |  |  |  |
| from the earliest claimed priority date (37 CFR 1.492 (f)). + TOTAL NATIONAL FEE = \$ 1,000.00  |  |  |                            |   |            |           |  |  |  |  |
| Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +   |  |  |                            |   |            |           |  |  |  |  |
| TOTAL FEES ENCLOSED = \$ 1,040.00   |  |  |                            |   |            |           |  |  |  |  |
|   |  | Amount to be refunded:   | \$                         |   |            |           |  |  |  |  |
|   |  | Amount to be charged:  | \$                         |   |            |           |  |  |  |  |
| a. / X A check in the amount of \$ 1,040.00 to cover the above fees is enclosed.  |  |  |                            |   |            |           |  |  |  |  |
| b. Please charge my Deposit Account No. in the amount of \$   |  |  |                            |   |            |           |  |  |  |  |
| to cover the above fees. A duplicate copy of this sheet is enclosed.  |  |  |                            |   |            |           |  |  |  |  |
| c. x The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any   |  |  |                            |   |            |           |  |  |  |  |
| overpayment to Deposit Account No02-2448 A duplicate copy of this sheet is enclosed.  |  |  |                            |   |            |           |  |  |  |  |
| d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.                      |  |  |                            |   |            |           |  |  |  |  |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the International Application to pending status.                                   |  |  |                            |   |            |           |  |  |  |  |
| SEND ALL CORRESPONDENCE TO:   |  |  |                            |   |            |           |  |  |  |  |
| Gerald M. Murphy, Jr.   |  |  |                            |   |            |           |  |  |  |  |
| NAME  |  |  |                            |   |            |           |  |  |  |  |
| CUSTOMER  | NUMBER: 0229   | 28,977   |                            |   |            |           |  |  |  |  |
| January 19, 2005  GMM/nl  REGISTRATION NUMBER   |  |  |                            |   |            |           |  |  |  |  |